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**UNIVERSITY GRANTS COMMISSION
BAHADUR SHAH ZAFAR MARG
NEW DELHI-110002**

ANNEXURE - II

Part - I : Preliminary

(i)	Constitution of the Expert Committee	<p>Prof. Yogesh Singh, Vice- Chancellor, Delhi Technological University Delhi Chairman</p> <p>Prof. R.P.Dahiya Professor (Retd.) Indian Institute of Technology A-601, Mandakani Apartment, Plot No.3C, Sector-2 New Delhi-110 075 AICTE Team Leader</p> <p>Prof. B.R. Chahar Deptt. of Civil Engineering Indian Institute of Technology, Delhi Member</p> <p>Prof.Ranee Maria Leonie Vedaumuthu Chairman, Deppt. of Architecture, Chennai Member</p> <p>Prof. B.Raja Shekhar Dean, School of Management Studies, University of Hyderabad Hyderabad Member</p> <p>Dr.Vandana Sehgal Faculty of Architecture Dr.A.P.J.Abdul Kalam Technical University Tagore Marg, Lucknow - 226 007 Nominee of Council of Architecture</p> <p>Prof.M.L.Kansal Professor, Water Resources Development & Management IIT, Roorkee AICTE Member</p>
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		<p>Prof. Vijayant Agarwal Professor Netaji Subhas Institute of Technology Azad Hind Fauz Marg, Sector-3, Dwarka, New Delhi AICTE Member</p> <p>Ar.Anshuman Rath Architect M/s Creative Inscape Plot NO.4708, Gajapati Nagar, Bhubaneswar, Odisha AICTE Member</p> <p>Mamta R.Agarwal, Joint Secretary, UGC</p>
(ii)	Date(s) of the visit	November 24 to 25, 2017
(iii)	Any other information (Non-participation of members, any special factor or situation / difficulties relevant to the report)	No

Part - II : Introduction

(i)	Brief introduction of the University (Refer 1.1 of the format)	<p>Peoples Education Society (PES) founded in the year 1972 has strived for imparting quality education in the fields of science, medicine, engineering and management. The infrastructure, faculty and of teaching-learning processes are in sync to make the student capable to the future pursuits of life. The unwavering commitment to the quality education in PES Institute of Technology (PESIT) facilitated easy evolution of PES UNIVERSITY (state private University) in the state of Karnataka vide government notification No. ED 85 UNE 2013 dated 19-11-2013. Government of Karnataka published PES UNIVERSITY ACT-2012 of Karnataka Act 16 of 2013 (which received the assent of the Governor on the fifth day of February 2013 and first published in the Karnataka Gazette Extra-ordinary on seventh day of February 2013) under clause(3) of article 348 of the constitution of India in the Karnataka gazette. for general</p>
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		<p>information on Thursday, May 16, 2013. The campus of the university is 100 Feet Ring Road, BSK III Stage, Bangalore - 560 085 where PESIT existed and it is spread over an area of 25 Acres 26 Guntas that is highly conducive to learning. It is a green campus located right in the heart of Bangalore, providing numerous location-based advantages.</p> <p>The vision of PES University is to create professionally superior and ethically strong global workforce.</p>
(ii)	Date of the establishment of the University	19/11/2013
(iii)	Brief description of the University and its faculties / courses	<p>PES University is a new State Private university established in 2013. The various faculty under the university are:</p> <ul style="list-style-type: none"> • Faculty of Engineering • Faculty of Management Studies • Faculty of Architecture and Design • Faculty of Law
(iv)	Brief description of the Trust/ Society that governs the University	<p>Peoples Education Society (PES) founded in the year 1972 has strived for imparting quality education in the fields of science, medicine, engineering and management. PES has eight different campuses in Karnataka and Andhra Pradesh offering programs ranging from Pre-University to Doctoral Degree. PES is focused on six main educational areas: Architecture, Design, Engineering, Medicine, Management and Life Sciences. PES offers both foundation and specialization programs leading to Bachelors, Masters and Ph.D Degree. PES is contributing to the field of education through its institutions.</p>

Part - III : Summary Report

A . Legal Status

(i)	Is the University duly established under the law and as required in the UGC regulations?	<p>YES PES University has been established by a separate state act referred to as "PES</p>
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		University Act 2012" (Karnataka Act No. 16 of 2013) – An act to establish and incorporate in the state of Karnataka a University of Unitary nature in Private Sector to promote and undertake the advancement of University Education in Engineering, Medicine, Pharmacy, Science, Arts, Social Science, Computer Applications, Humanities, management and allied sectors and for the matters connected there with or incidental thereto.
(ii)	Is the Trust/ Society involved in promoting the university sufficiently focused on educational activities and independently of their business or any other interest, if any?	Yes

B . Organization Description

(i)	Does the University abide by UGC regulations on off-campus centres? Yes No Not-Applicable	YES University abides by UGC regulations on off-campus centers
(ii)	Does the University abide by UGC regulations on off-shore campus centres? Yes No Not-Applicable	YES University abide by UGC regulations on off-shore campus centres
(iii)	Does the University offer courses under distance mode with the approval of the competent authority of Government of India? Yes No Not-Applicable (refer 2.6 and 2.7 of the format)	NO University is not offering courses under distance mode

C . Academic Activities

(i)	Are the courses offered by the university narrowly focused or adequately diverse?	The courses offered by the University are reasonably diverse.
(ii)	Are the lists of courses for the award of the degree as per the section 22 of UGC Act, 1956?	Yes, the courses for award of degrees as per the section 22 of UGC Act, 1956 offered by the university are
(iii)	Is the sanctioned intake is as per the norm / intake sanctioned by the concerned statutory council(s)	Yes as per the PES University Act and approval by the following statutory council(s) / agencies

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	/ UGC?	<ul style="list-style-type: none"> • Karnataka Government Secretariat • Council of Architecture • Bar Council of India
(iv)	Whether courses run are approved by concerned statutory council(s)?	<p>Yes</p> <ul style="list-style-type: none"> • Karnataka Government Secretariat • Council of Architecture • Bar Council of India
(v)	Are there adequate support facilities for students, especially for disadvantaged students? (refer 4.5 of format)	<p>Yes</p> <p>The University has conducted classes to provide special assistance for the slow learners in each semester through Student Academic Support Programme (SASP).</p> <p>Apart from this initiative, PES University provides mentorship and counseling to all stakeholders. Every 20 students are assigned to a Faculty Advisor who acts as a mentor and two professional counselors have been appointed.</p>
(vi)	Are the students adequately informed? (refer 4.7 and 4.8 of the format)	<p>YES, through website (announcement link) and Internal communication circular, <i>university brochure</i>.</p>
(vii)	Is there a Grievance Redressal Mechanism and is that working properly?	<p>Yes</p> <p>The university has set up Grievance Appeal Committee. Meetings are conducted and minutes are recorded.</p>
(viii)	Is the university following proper procedure for formulation and revision of curriculum on periodic basis? (refer 5.1 to 5.4 of the format)	<p>Yes</p> <p>The syllabi and curriculum of each programme is drafted by Department Academic Committee (DAC). This draft copy is circulated to all members in the Department Curriculum Committee (DCC) well in advance before the meeting. The members review and suggest modifications / updates / changes via email and in the meeting. Detailed discussion / brain storming on each of the courses circulated in the draft; presented in the DCC. DCC approves the draft. The curriculum so drafted is further perused by the Academic Council of the</p>

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		University. Academic Council submits the draft version with its recommendations to Board of Governors for final approval. However, any suggestions raised by the Academic Council during the review will be incorporated before submitting to the Board of Governors. The Board of Governors finally approves the curriculum.
(ix)	How regular, fair and transparent is the examination system? (refer 5.7 to 5.14)	The University has adopted a fair and transparent continuous evaluation system. The examination system adopted by PES University is based on 'In Semester Assessment (ISA)' and 'End Semester Assessment (ESA)' for both theory and practical courses.

D. Admission Process

(i)	Does the University follow fair and transparent procedure for admission? (Refer 6.1 and 6.7 of the format)	YES, University admits 40% students through CET, Govt. of Karnataka in Engg, MBA, MCA & B.Arch. 60% students are admitted through PESSAT, an entrance examination conducted by the university. It follows fair and transparent procedure for admission.
(ii)	Do any special reservation on quota follow clearly laid down policy?	Special reservation on quota is followed for 40% of the Government of Karnataka Quota, students who are admitted through Entrance examination conducted by Govt. of Karnataka. However no such reservation system exists for 60% of students who are admitted through PES University Quota.

E. Fee Structure

(i)	Are the students sufficiently informed about the fees and charges payable?	Yes, for the 40% of the seats allotted by the Karnataka Examination Authority (KEA), students are informed through the KEA portal and notification is done in newspapers. For 60% of the seats allotted by PES University, the aspirants are informed through Press, Media, PESSAT Info sessions, and PES University website.
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(ii)	Does the university follow its own declared policy in collecting any fees or charges or are there some charges over and above the publically stated fee structure?	Yes, for 60% of the seats allotted by the University under its quota.
(iii)	Is the mode of fees collection transparent or are there complaints of payment without receipts?	Yes, the mode of the fees collected by student is transparent. Fee is collected through DDs only and receipts given for the fee collected from the students.
(iv)	Are the fees reasonable compared to costs involved in running the programmes and to other similar institutions?	Yes
(v)	Is the fee structure based on policy or guidelines laid down by the Government?	40% students through CET, Govt. of Karnataka pay fee as prescribed by the Govt. of Karnataka. 60% students admitted through PESSAT, an entrance examination conducted by the university, fee is charged as decided by the internal fee committee of the university.
(vi)	Is there any indication of the University being run solely or primarily for commercial gains?	No

F. Faculty

(i)	Does the university follow pay scales and service conditions laid down by UGC?	There are total 362 regular faculty members and 90 adjunct/visiting faculty. Out of regular faculty, 267 faculty members are paid as per UGC's prescribed pay scales, and 95 are paid on consolidated basis.
(ii)	Is the faculty well qualified and well trained for the courses ? (if required, please make comments separately for each faculty / department)	Yes
(iii)	Is the proportion of permanent faculty adequate or is the university being mainly run by deploying contractual faculty / guest faculty / part-time faculty?	Adequate. The proportion of permanent faculty to adjunct/visiting faculty is 4:1
(iv)	Has the University followed due process for recruitment of the faculty?	Yes

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G. Infrastructure

(i)	<p>Are the following infrastructure facilities adequate?</p> <ul style="list-style-type: none"> • Land and Buildings • Class Rooms • Laboratories and equipments • Library • Sports Facilities • Residential accommodation including hostels 	<p>Yes, the university has adequate land and buildings. The University has digital class rooms with lecture capture facilities. The library has adequate number of books and journals. Sports and residential facilities are satisfactory.</p>
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H. Financial Viability

(i)	<p>Does the university have adequate and independent funds?</p>	<p>Yes, university has deposited Rs. 25 Crores as FD.</p>
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I. Governance System

(i)	<p>Are various authorities and bodies responsible for the governance of the university in place and working regularly and properly?</p>	<p>Yes, the following authorities and bodies responsible for the governance of the university are in place and working regularly.</p> <ul style="list-style-type: none"> • Board of Governors • Executive Council • Academic Council • Research Council • Estate Council • Finance Council
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J. Research Profile

(i)	<p>How would you rate the research profile of the university in terms of Research orientation, environment, facilities and output?</p> <p>(please give comments separately on faculties and departments)</p>	<p>Department of Bio-Technology has good research output. The extent of published work ranging from books, articles in refereed journals, reflected in citation indices is inadequate and needs substantial improvement. The research track record of the university can be further reinforced by creating well equipped research laboratories and encouraging younger faculty to proactively take up more responsibilities.</p> <p>Faculty must focus on publishing in journals of repute in the area concerned.</p>
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K. Miscellaneous

(i)	Is the non-teaching staff adequate, well qualified and paid as per the norms of the concerned state Government?	Yes, adequate in number. Non teaching staff is poorly paid and requested for pay scales as are in vogue in Govt. of Karnatka. Some of the non-teaching employees have approached court of law for pay scales as in Govt. of Karnatka and matter is sub-judice. University should immediately implement the Government of Karnataka policy and pay scales for all such positions.
(ii)	Do the academic results show evidence of independent and rigorous evaluation prior to the issue of the degrees?	Yes
(iii)	Has the university obtained necessary and desirable accreditations?	<p>The PES University was established in 2013. Prior to this, PES Institute of Technology (Autonomous) was accredited to NAAC with 'A' grade, CGPA of 3.21 up to 2018.</p> <p>As a university, it will apply for NAAC Accreditation in 2018-19. Appendix- 15.1</p> <p>The PES University is established in 2013. Prior to this, PES Institute of Technology (Autonomous) was accredited to NBA for two years from September 2013 to September 2015.</p> <p>The university intends to apply for NBA Accreditation in 2018-19.</p>

L. Strength and Weaknesses of the University

(i)	Strength of the University	<ul style="list-style-type: none"> • Good infrastructure • Locational Advantage • Eminent personalities in Board of Governors • Good placement record • Quality of students is good • Experienced and motivated faculty
(ii)	Weaknesses of the University	<ul style="list-style-type: none"> • Inadequate labs for PG and research

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		<ul style="list-style-type: none"> • Extent of published work ranging from books, articles in refereed journals, reflected in citation indices is inadequate • Publishing in journals of repute in the area concerned is required. • Non-availability of laid down transparent administrative processes.
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Part- IV- Recommendations

A. Observations of the committee

1. PES University is a newly established state private university, established in the year 2013. It started as PES Institute of Technology in the year 1988 and since progressed into an autonomous college and subsequently in a state private university. It is felt that university is still transitioning from an institute to a university.
2. The expert committee appreciated the efforts of the university in designing and development of an indigenous satellite namely PISAT in September 2016.
3. There are total 362 regular faculty members and 90 adjunct/visiting faculty. Out of regular faculty, 267 faculty members are paid as per UGC's prescribed pay scales, and 95 are paid on consolidated basis.
4. Newly established departments of Architecture and Design have state of the art fabrication and climate laboratories which are appreciable.
5. Students have in their interaction expressed satisfaction for the commitment of the faculty and staff who are accessible, competent and teachers who provide academic and professional mentorship. They expressed sense of ownership and academic rigor in the university.
6. The examination branch is properly functioning with transparency, efficiency, confidentiality, and student satisfaction at the core of its functioning. Detailed SOPs exist for the conduct of examinations, question paper setting, choice of experts and moderators, declaration of results, revaluation processes etc. Records are maintained and are accessible. However, the committee opined that component of external evaluation needs to be enhanced.
7. The Committee on Prevention of Sexual Harassment of women at work place needs to be constituted and named as prescribed by the Hon'ble Supreme Court of India It's constitution and contact information of concerned person needs to be widely disseminated. However, it is to the credit of the institute that women on campus felt safe.
8. In fact, while number of students' club and outreach activities are fully encouraged, it seems that other cultural expressions like music, dance, creative

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writing, theatre etc. could also be given a fillip and provided with incentives to elicit full students' engagement and enhance quality.

9. University has adequate number of laboratories for UG teaching in most of the department, but laboratories for PG and research laboratories need significant augmentation.
10. Laboratories in civil Engineering department need augmentation.

B. Suggestions of the Committee which require satisfactory compliance by the University

1. Since PES has become a university, there is an urgent need to evolve to a process oriented, well laid out transparent policy implementation based organization. Detailed SOPs need to be developed, disseminated, published and all stake holders need to be integrated.
2. Non teaching staff is poorly paid and requested for pay scales as are in vogue in Govt. of Karnatka. Some of the non-teaching employees have approached court of law for pay scales as in Govt. of Karnatka and matter is *sub-judice*. University should immediately implement the Government of Karnataka policy and pay scales for all such positions.
3. Adoption of case method of teaching in Management would be more effective. Curriculum revision and updation aligning to the needs of the industry; on regular basis should be done.
4. University has adequate number of laboratories for UG teaching in most of the department, but laboratories for PG and research laboratories need significant augmentation.
5. There is an urgent need to enhance and institutionalize the community outreach activities/neighborhood activities and extension programmes.
6. University must make serious efforts to inculcate adequate diversity in terms of faculty and students not only from different parts of India but also from different parts of the globe.
7. The extent of published work ranging from books, articles in refereed journals, reflected in citation indices is inadequate and needs substantial improvement. The research track record of the university can be further reinforced by creating well equipped research laboratories and encouraging younger faculty to proactively take up more responsibilities.
8. Faculty must focus on publishing in journals of repute in the area concerned.

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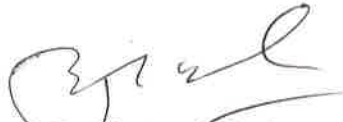






C. Final Recommendations

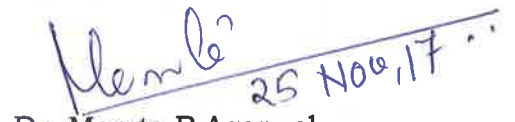
The constituted expert committee after spot assessment of the university, scrutiny of records and interaction with all involved stake holders unanimously observes that PES university, 100 feet ring road, BSK III Stage, Bengaluru-560085 is fulfilling criteria in terms of programmes, faculty, infrastructure facilities, financial viability etc as laid down by UGC and other concerned statutory bodies. The university must comply with various enlisted observations of the committee in a time bound manner (within three years).


Dr. Vandana Sehgal
Member



Prof. B.R. Chahar
Member


Prof. Ranee Maria
Leonie Vedaumuthu
Member


Prof. B. Raja Shekhar
Member


Dr. Mamta R Agarwal
Coordinating Officer


Prof. R.P. Dahiya
AICTE- Team leader


Prof. Yogesh Singh,
Chairman