

**XI-PLAN GUIDELINES FOR CENTRES WITH POTENTIAL FOR
EXCELLENCE IN PARTICULAR AREAS (CPEPA)**



**UNIVERSITY GRANTS COMMISSION
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UNIVERSITY GRANTS COMMISSION, NEW DELHI XI-PLAN GUIDELINES FOR CENTRES WITH POTENTIAL FOR EXCELLENCE IN PARTICULAR AREAS (CPEPA)

1. PREAMBLE

For a long time, the University Grants Commission (UGC) has been encouraging well-performing higher education institutions like, Universities and Colleges in the country to *strive for excellence in their chosen areas of academic and research work*. In this strategy, the UGC has been providing substantial financial support to selected Universities and Colleges through its different Schemes, with a view to enable them to reach the world class level and serve as benchmark for other institutions in India. The Schemes include, “*Universities with Potential for Excellence (UPE)*” launched in the IX-Plan period and “*Colleges with Potential for Excellence (CPE)*” commenced in the X-Plan period.

During IX Plan period, in Phase-I of the *UPE* Scheme, five Universities were selected by the UGC for financial support. During X plan, four more Universities were identified for support under the *UPE* Scheme. Later on, the thrust Area of the *UPE* program of 12 Universities selected during Phase-II of IX plan period was named as “**Centre with Potential for Excellence in a Particular Area**”. This initiative is being continued in the XI-Plan period as well. On similar lines, Phase-I of the *CPE* Scheme was launched by the UGC in the X-Plan period with 47 Colleges being identified for financial support under the Scheme. Very soon, Phase-II of the *CPE* Scheme was commenced by the UGC, wherein 50 more Colleges were identified for being provided financial support under the *CPE* Scheme. This initiative is also being continued by the UGC in the XI-Plan period. In the X-Plan period, it has also been decided by the UGC that the twelve Universities earlier selected under the *UPE* Scheme may be more appropriately categorized and facilitated to function as “*Centres with Potential for Excellence in a Particular Areas (CPEPA)*”. Subsequently, after evaluating the performance and achievements of these Universities, the UGC has permitted only those institutions to continue under the *CPEPA* Scheme, which have met its prescribed

norms and standards. And, the funding for other Universities is now in the process of being discontinued.

In order to encourage scheme of “*Centres with Potential for Excellence in Particular Areas (CPEPA)*” UGC has decided to continue the said scheme in selected universities during XI-Plan period, based on the experience gained in the earlier Plan periods It is expected that this Scheme will be different from the earlier Schemes like, *UPE* and *SAP* and be more focused in its functioning and be able to enhance the academic and research capabilities of the selected Universities in their chosen areas and/or disciplines at utmost speed. While the earlier Schemes generally aim at the development of academic/research infrastructure to improve the programmes and activities in a chosen discipline at the selected University, the *CPEPA* Scheme focuses on supporting the development of inter- and/or multi-disciplinary areas and specific research projects in these areas at the selected University These *Guidelines* have been framed to assist the Universities:

- Seeking to be considered for selection under this Scheme, for making necessary :preparations
- Already functioning under the Scheme to prepare for their proper functioning, periodic review and performance/progress assessment;
- Included under the *CPEPA* Scheme to prepare for being considered/selected for vertical progression as a *CPEPA* institution, if required;

In the present path of progress in Higher Education, integration of various disciplines of studies has become a key factor. The existing programmes of assistance of UGC such as DRS, DSA and CAS were considered on the basis of performance **in a subject specific area**. The *UPE* scheme is meant for grooming a particular area of study in which the university has already shown signs of excellence and also bring about general excellence in other fields including governance of a university. Keeping with the rapidly changing times and requirements, the Commission has come up with a new venture, in which the **multi disciplinary approach** will be nurtured and groomed. Appropriate mechanisms have been worked out for this propose as given in the detailed Scheme. Thus, *CPEPA* stands out as a unique attempt to foster activities which are

lacking to a great extent among the practitioners of higher education and research in the country.

On being selected under the Scheme, the Universities will be required to take up academic, research and other activities in one or more inter- and/or multi- disciplinary areas of contemporary significance in fields like, physical/ chemical/ biological/ social sciences and humanities. The UGC has proposed to identify up to twenty five Universities from all over the country for financial support under the Scheme during the XI- Plan period, with each one to set up a “centre with potential for excellence in a particular area”. Important aspects of the *CPEPA* Scheme are outlined below:-

2. OBJECTIVES

The **main objectives** of the Scheme are to encourage and facilitate the chosen Departments at the selected University to work together by associating their like minded faculty members to cooperate and collaborate in their programmes and activities on a regular basis, by cutting across departmental and/or discipline barriers. While there will be no preference on the subject areas to be identified and/or taken up under the Scheme, it is necessary that each one has to be of the inter- and/or multi-disciplinary type and be in the emerging, frontier or cutting edge subject areas of regional, national and/or international importance. It is expected that two or more Departments at the University will be able to jointly:

- Launch new and innovative academic, research and/or extension programmes / activities in inter- and/or multi-disciplinary areas;
- Take up this endeavor, to initiate major programmes/ activities of regional/national/international interest and importance;
- Benefit from their combined academic performance, research capabilities and overall achievements;
- Reach a leadership position in their chosen fields/areas in a short time; and,
- Become highly successful in gaining the confidence, respect and admiration of the society at large;

The **other objectives** of the Scheme are to:

- (i) Strengthen the academic and research facilities and infrastructure at the University for achieving excellence in the chosen areas;
- (ii) Enhance the quality and standard of UG/PG teaching-learning-evaluation processes, research work and extension activities in the chosen areas;
- (iii) Promote excellence in academic programmes relevant to the social, economic and other needs of the nation in general and the region in particular;
- (iv) Take up networking and collaboration with other Institution of Higher Education/ National/ Laboratories/ Centres/ etc;
- (v) Encourage the University to fill the gaps in the existing *knowledge treasure* of India, by new and innovative academic/research work;
- (vi) Serve as a repository of available *knowledge* in the country in the particular area identified for the University;

3. TARGET GROUP

The following types of Universities constitute the target group for inclusion under the *CPEPA* Scheme during the XI- Plan period:

- (a) Central/State Universities, awarding UG/PG Degrees and engaged in research work at a high level in inter- and/or multi-disciplinary areas, with demonstrated capabilities;
- (b) Universities declared under Section 3 of the UGC Act, 1956 and eligible to receive UGC Plan Grants, awarding UG/PG Degrees and engaged in research work at a higher level in inter- and multi-disciplinary areas, with demonstrated capabilities;
- (c) Universities earlier selected under the *UPE* Scheme, but later categorized for inclusion in the *CPEPA* Scheme after review and assessment, interested to reorient their programmes/ activities and keen on vertical progression;

The UGC has proposed to identify *twenty five* Universities under the *CPEPA* Scheme in the XI-Plan period, after a due selection process in accordance with these *Guidelines*.

4. ELIGIBILITY CRITERIA

Any University aspiring to receive financial support under the *CPEPA* Scheme will be required to meet the following eligibility criteria:-

ESSENTIAL:

- (i) Have experience in conducting P.G., M. Phil/ and Ph. D. degree programmes of a high standard at its Departments in chosen areas/disciplines;
- (ii) Made an action plan to associate three or more Departments, executed MOUs between them for joint work in inter- and/or multi-disciplinary areas and identified the Coordinators;
- (iii) Have at least one of the participating Departments with renewed selection by the UGC for DSA/CAS under the SAP Scheme,
- (iv) Obtained accreditation by an Agency (like NAAC, NBA) recognized by the UGC, covering the Departments and be in its validity period;
- (v) Have at least one faculty member from each of the Departments well recognized by national/ international learned bodies/academies and/or by other distinctions;

DESIRABLE:

- (vi) Demonstrated competence in inter- and multi-disciplinary course work, through students' project work, Master's / Doctor's Degrees awarded and publications record;
- (vii) Have experience of pursuing quality research at its Departments in chosen areas/disciplines, as evidenced from its publications/patents;
- (viii) Made distinct contribution to the growth of *knowledge* and enhanced the academic ambience of the University;

- (ix) Have experience and competence in application oriented academic/research work in relevant areas useful to development sectors in the society;

5 NATURE OF ASSISTANCE

The nature of financial assistance available to Universities under the *CPEPA* Scheme will be as follows:

- (i) The financial assistance to a University will be limited to a maximum of:
- *Rs.7.00 Crores* for Sciences/Technology areas; and,
 - *Rs.5.00 Crores* for Social Sciences/Humanities areas;
- (ii) The funding to a University will be project oriented and a Detailed Project Report (DPR) proposal from the University will form the basis for sanctioning the grants;
- (iii) The tenure of a University under the Scheme will be initially for five years, extendable for a further period of five years, based on annual/summative reviews;.
- (iv) This grant will be usable only for the following activities at the University:
- Conducting project-oriented collaborative academic/ research work in inter- and multi-disciplinary areas;
 - Meeting the salary of additional academic/ research staff/ equipment/ library resources and working expenses;
 - Conducting faculty development, conferences and related events in inter- and/ multi-disciplinary areas;
- (v) This grant **will not** be available for:
- Building construction and/or developing physical infrastructure;
 - Supporting faculty member/s for uni-disciplinary academic/ research/extension work;
- (vi) Once a proposal is approved, use of the grant will be as per the detailed budget and action plan finalized by the Expert Committee in each case;

6. INVITING PROPOSALS

Proposals from Universities for financial assistance under the *CPEPA* Scheme will be invited by the UGC in the prescribed proforma (given in *Annexure I*), through notifications issued from time to time and also through periodic announcements on the UGC Website.

7. COMPOSITION AND FUNCTIONS OF VARIOUS COMMITTEES

The Chairman, UGC will constitute the following two Committees, viz., ***Standing Committee*** and ***Expert Committee*** for assisting the UGC in various functions connected with the implementation of the *CPEPA* Scheme. Besides, the **Vice-Chancellor** of the **University selected under the scheme** will constitute an **Advisory Committee** to advise on all aspects of setting up of its Centre under the Scheme. The functions of each Committee and its composition will be as outlined below:

(a) Standing Committee

The *Standing Committee* would consider the proposals received from Universities for financial assistance under the *CPEPA* Scheme, as per the following procedure:

- Oversee the preliminary scrutiny for short listing of proposals by the UGC Office, as per the prescribed eligibility criteria;
- Identify the Universities qualifying for further consideration from the short list put up by the UGC Office;
- Examine the above list for regional distribution and forward its recommendation to the Commission, for further consideration;
- Examine the Reports of Expert Committees for the final recommendations regarding selection of universities under the scheme:-

The composition of the *Standing Committee* to be constituted by the Chairman, UGC, will be as follows:-

1. Commission Member (Chairperson)
2. Academic of repute in Sciences (Member)
3. Academic of repute in Humanities or Social Sciences (Member)
4. Academic of repute in Technology (Member)
5. Eminent Person from National Laboratory/Industry (Member)
6. Eminent Educationist (Member)
7. UGC Officer at the level of Joint Secretary and above (Member-Secretary)

(b) Expert Committee

There will be an *Expert Committee* constituted for each University shortlisted by the *Standing Committee* under the *CPEPA* Scheme. The primary functions of this Committee would be to:

- Visit the selected university for an on-site evaluation;
- Assess its current academic/research and related activities;
- Peruse the Action Plan, Research proposal and Budget prepared;
- Discuss on the proposal with the stake-holders;
- Submit its Report and Recommendations on the Action Plan, Research proposal and Budget to the Standing Committee for its consideration;

The *Expert Committee* will be constituted by the Chairman, UGC with its members being at least three experts in the subject areas approved for academic/ research/ extension work at the University under the *CPEPA Scheme*. One of the experts will be appointed as the Convenor of the *Committee* and a UGC Officer will be its, Member Secretary. The number of members in the Committee will be generally flexible, as it will depend on the:

- Number of Departments forming a Centre under the Scheme;
- Inter- and/or multidisciplinary areas to be covered at the Centre;

Advisory Committee

There will be an *Advisory Committee* constituted at each University selected under the *CPEPA* Scheme. The *Advisory Committee* which will meet as often as required, but at least twice in an academic year, will have its primary functions as given below:

- Advise the Centre being set up in its various preparatory and other steps;
- Oversee the launching of its various programmes /activities as envisaged;
- Review its functioning periodically and assist the Coordinators in making mid-course corrections, if required;
- Assist the Centre in fulfilling its objectives and meeting its goals in a time bound manner;

Excepting the members at Sl. No.2,3,4,5 and 8 the *Advisory Committee* will be constituted by the Vice-Chancellor of the University and it will have the following composition:-

1. Vice-Chancellor of the University (Chairperson)

*Area wise Specialists from **outside** the University:*

2.* Subject Expert 1 (Member)

3.* Subject Expert 2 (Member)

4.* Subject Expert 3 (Member)

5.* Subject Expert 4 (Member)

*Area wise specialists from **within** the University:*

6. Subject Expert 5 (Member)

7. Subject Expert 6 (Member)

8.* UGC Nominee/Officer (Member)

9. Registrar of the University (Member-Secretary)

Coordinators of the Centre (Permanent Invitees)

Deans of Faculties (Permanent Invitees)

Financial Controller of the University (Permanent Invitee)

* to be nominated by the Chairman, UGC.

8. PROCEDURE FOR APPROVAL BY THE UGC

The selection of a University under the Scheme will be done by following the procedure given below:

Step No. 8.1

Each University fulfilling the eligibility requirements and interested in seeking UGC support under the *CPEPA* Scheme will be required to:

- (i) Apply to the UGC in the prescribed proforma (given in *Annexure-I*), before the closing date notified from time to time;
- (ii) Enclose the following items along with its application:
 - Names and address details of the Coordinators identified;
 - Proposed Action Plan for different activities under the Scheme;
 - Detailed Project Research proposal and
 - Budget proposal for the *first five years*;

Step No. 8.2

The UGC Office will conduct a preliminary scrutiny of all the proposals received by following the prescribed *essential eligibility criteria* and place the results before the *Standing Committee* for its consideration(as given under Section 7).

Step No. 8.3

The *Standing Committee* will consider the results submitted to it by the UGC Office, together with the detailed proposals received from the Universities and guide the Office in preparing a short list of Universities for further consideration and evaluation.

Step No. 8.4

An *Expert Committee* will be constituted which will visit the selected University assigned to it, assess its preparations for taking up academic/research and related activities under the *CPEPA* Scheme, consider its proposed action plan, research proposal and budget proposals for the first five years, hold discussions with the

University authorities, Coordinators, concerned faculty members, research staff/scholars, students and other stakeholders, before arriving at a final Action Plan, Research programme and Budget for the Centre to be set up. Then, the *Committee* will submit its Report and Recommendations to the *Standing Committee* for its consideration.

Step No. 8.5

The *Standing Committee* will consider the Reports and Recommendations received from the *Expert Committees*, before making its Recommendation to the Commission regarding the Action Plan, Research Proposal and Budget of each selected University and regarding conferment of the *CPEPA* Status on each of them.

Step No. 8.6

The Commission will also inform each selected University its approved Action Plan, Research programme and Budget for implementing the various activities under the Scheme.

9. PROCEDURE FOR RELEASE OF GRANTS

The UGC will release the i.e., 50 per cent of the allocated grant as first installment to the selected University immediately on selection at the University under the scheme. The release of further installment(s) of grants will be based on the recommendations of a review of progress/performance of the University under the Scheme and the receipt of Utilization Certificate and Statement of Expenditure.

10. PROCEDURE FOR MONITORING THE PROGRESS

The progress made by each University under the *CPEPA* Scheme will be reviewed, monitored and evaluated at the end of two years with the help of an *Expert Committee*. The *Expert Committee* will be required to update the Commission with an assessment Report on the academic/ research and other achievements and the progress made in the implementation of the *CPEPA* activities by the identified Universities. This is expected to include the following steps:

Step No.10.1

Each University under the CPEPA Scheme will be required to submit an Annual Report of work done during the previous financial year, to the UGC, latest by 30th April of every year. On receipt of the report for two years the Chairman, UGC shall constitute an Expert Committee for each university which will visit a university and submit a reports.

Step No. 10.2

The *Standing Committee* will consider the *Expert Committee* Reports on all the Universities under the *CPEPA* Scheme and forward its Recommendations to the Commission. These may include the need for mid course correction in the Action Plan and/or Research programme, revision of budget, if required, further release of grant, and related items. Steps would be then taken by the UGC Office to act on these Recommendations based only on the directions of the Commission in each case.

Step No. 10.3

At the end of the first five year period under the *CPEPA Scheme*, the performance/progress of the University will be reviewed for a summative evaluation by the *Review Committee*. The Report of such an evaluation in each case will be submitted to the *Standing Committee* for its consideration.

Step No. 10.4

After considering such Reports received on all the Universities under the *CPEPA Scheme*, the *Standing Committee* will make its Recommendations about further continuation of assistance to the University for the next phase of five years, or otherwise. The Recommendations of the *Standing Committee* will be placed before the Commission for taking a final decision on this matter.

11. WITHDRAWAL OF CPEPA STATUS

11.1 If, as a result of the periodic reviews conducted, it is found that the University is not performing well, a six-month notice will be given to it for improvement. If the

UGC is satisfied (either by visiting **the university** or otherwise) that the University has not made much progress or has not shown results as per the Action Plan and Research programme approved for its Centre even after the six-month notice period, the status and privileges attached to the University under the *CPEPA* Scheme may be withdrawn by the Commission after considering the recommendation to that effect by the Monitoring Committee and the Standing Committee concurring with it. In such a case, either the University/Centre may be asked to refund the financial assistance given to it under the Scheme along with penal interest, or the amount may be adjusted against the grant paid or payable to the University under other Schemes of the UGC.

- 11.2 Once the *CPEPA* status of a University is revoked on the recommendation of a Monitoring Committee it can be revived only if, the performance of the University relating to the Centre improves to the extent required, provided that no revival will be made after a period of two years from the date of revocation. The same Monitoring Committee shall visit the Centre again and recommend to UGC about withdrawal or continuation based on which further decision be taken.
- 11.3 The *CPEPA* status will also be revoked, if the information and data supplied by the University to claim the status is subsequently found to be incorrect. In such a case, the University will have to refund the entire amount released to it under the Scheme to the UGC, along with penal interest. The University shall be blacklisted and shall not be allowed to participate in any Scheme of the UGC.
- 11.4 The *CPEPA* status will also be revoked in case of misappropriation and misuse of funds. In such a case, the University will have to not only refund the entire amount released to it under the Scheme to the UGC with penal interest, but also, face appropriate legal action which may be taken against it by the UGC.

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APPLICATION FOR SUPPORT FROM THE UGC UNDER THE CPEPA SCHEME

1. a) Name and address of the University:
b) Website address of the University:
2. Year of establishment
3. Type of University: -

Institution of National Importance	<input type="checkbox"/>
Central unitary	<input type="checkbox"/>
Central affiliating	<input type="checkbox"/>
State unitary	<input type="checkbox"/>
State affiliating	<input type="checkbox"/>
Deemed to be (Under Section 3 of UGC Act)	<input type="checkbox"/>
4. Communication Details:
(a) Office

Particulars (Please include the name of incumbent in each case)	Area/STD code	Tel. No. Landline/Mobile	Ext. No	Fax No.	E-mail ID
Vice-Chancellor:					
Pro-Vice-Chancellor (s):					
Registrar:					
*Coordinator for <i>CPEPA Scheme</i> :					
*Joint/Deputy Coordinator for <i>CPEPA Scheme</i> :					

(b) Residence

Particulars	Area/STD code	Tel. No.(s)	Fax No.	E-mail ID
Vice-Chancellor:				
Pro-Vice-Chancellor(s):				
Registrar:				
*Coordinator for <i>CPEPA Scheme</i> :				
*Joint/Deputy Coordinator:				

4. Date of Accreditation:
5. Accrediting Agency: NAAC/NBA/Any other? (Please specify)
6. Accreditation details: -
 - 5Grade:
 - a) CGPA:
 - b) Grading system followed:
 - c) Validity, in years:
7. Whether prepared yearly Report of Quality sustenance and enhancement? Yes/No

8. Details of Departments:

Total No. of Departments	Total No. of Departments participating under	
	SAP	National facility

9. Names of SAP Departments during X Plan, their thrust areas and National Facilities

10. Whether any inter-/multi-disciplinary academic/research/extension work taken up by Departments? If yes, give examples of such work: Yes/No
11. Whether two or more Departments identified to work together? Yes/No
If Yes, give the names of Departments identified:
12. Whether Coordinators identified to steer *CPEPA Scheme* work? Yes/No
If Yes, give the names of Coordinators and enclose their biodata:
13. Whether MOU executed among the identified Departments? Yes/No
If Yes, enclose one copy of each MOU:
14. Whether Action Plan, Research proposal and budget proposal prepared? Yes/No
If Yes, enclose one copy of each with the Application:
15. Whether any faculty members of identified Departments recognized nationally/internationally? If Yes, give their names and designations and indicate the nature of their recognition: Yes/No

Please indicate the quality of research being carried out in the chosen departments being considered for CPEPA scheme during the last five years detailing: Number of teachers presently working against the sanctioned strength, Ph. Ds produced, Patents obtained, Research Publications, Seminars, Workshops attended and organized, NET qualified candidates from the departments, awards received by the faculties, (National/International), consultancy, extension activities and any other activities which the university would like to place before the UGC for considering the departments under the scheme.

- Notes:
- 1) Unless mentioned specifically, give only the data pertaining to the University and its departments, excluding the affiliated colleges.
 - 2) If the information to be provided is lengthy, a separate sheet may be added.

ACADEMIC AND OTHER ACTIVITIES

1.0 ACADEMIC PROGRAMMES

1.1 Current number of academic programmes/courses offered within the University under the following categories: (Enclose separately the list of academic programmes offered, with inter-/multi-disciplinary types being under-lined.)

Programmes	Number
UG	
PG	
Certificate course	
Diploma	
PG Diploma	
M.Phil.	
Ph.D.	
Any other (specify)	

1.2 Give details of self-financing courses(if any) offered by the University.

Programme	Level of Study	Cut off marks at entry level in %	Student Strength during the current year	Income generated
Grand Total				

1.3 Temporal plan of academic work in the University (Tick the ones applicable)

Semester system

Annual system

Choice based credit system

Any other (specify)

1.4 Total No. of students of the University who have passed the following Competitive examinations in the last five years:

a) UGC - CSIR (NET) :

b) UGC - SLET :

c) GATE :

d) Indian Civil Services :

e) GRE :

f) TOEFL :

g) GMAT :

h) Any other (specify) :

1.5 No. of students of the University qualified in the UGC-CSIR/GATE examinations and who have registered for research in the University during the last five years.

1.6 Please list below the on-going inter-/multi-disciplinary and/or innovative academic programmes of the University, indicated Department-wise

2.0 TEACHING FACULTY

2.1 Total number of sanctioned posts :

2.2 Total number of filled up posts :

2.3 Total number of vacancies :

2.4 Percentage of vacancies to the sanctioned post :

2.5 Category-wise No. of Teaching Faculty: :

Category	Female	Male	Total
SC			
ST			
OBC			
PH			
General			
Total			

2.6 Number of permanent and temporary members of the teaching faculty at present:

Particulars	Female	Male	Total
TOTAL NO. OF PERMANENT TEACHING FACULTY			
No. of teachers with Ph.D as the highest qualification (%)			
No. of teachers with M.Phil. as the highest qualification (%)			
No. of teachers with PG as the highest qualification (%)			
TOTAL NO. OF TEMPORARY TEACHING FACULTY			
No. of teachers with Ph.D as the highest qualification (%)			
No. of teachers with M.Phil. as the highest qualification (%)			
No. of teachers with PG as the highest qualification (%)			
Average age of teachers (years)			
Average length of experience of teachers (years)			

Please enclose a list of above teaching faculty at the University, arranged Department-wise, giving designations, qualifications, dates of birth and joining;

TOTAL NO. OF PART-TIME TEACHING FACULTY			
No. of part-time teachers with Ph.D as the highest qualification (%)			
No. of part-time teachers with M.Phil. as the highest qualification (%)			
No. of part-time teachers with PG as the highest qualification (%)			
Total No. of visiting teachers			

2.7 Ratio of full-time teachers to part-time/contract teachers: Previous year

2.8 Percentage of classes taught by full-time teachers:

2.9 No. of teachers(%) having at least a one-step higher qualification than the mandatory minimal level:

2.10 No. of teaching faculty recruited in the five year period from 2002-03:

Year	From the Same State		From Other States
	Same Institution	Other Institutions	
2002-03			
2003-04			
2004-05			
2005-06			
2006-07			

2.11 Furnish the following data regarding the recruitment of University teaching faculty during the last two years:

Category	Year, Month and Date of			
	Advertisement	Appointment of Selection Committee	Selection Committee meeting	Dispatch of appointment letter to candidates
(i) Govt. Aided				
(ii) Self Financing Courses				

2.17 What are the national and international linkages established for teaching and research?

2.18 Details of beneficiaries of linkages:

Category	No. of Beneficiaries	
	National	international
Teaching faculty		
Students		
Researchers		

2.19 No. of teachers getting awards/recognition during the last five years:

- National :
- International :

2.20 Any other highlights relating to teaching faculty?.

3.0 NON-TEACHING STAFF

3.1 Number of members of the non-teaching staff of the University at present:

Particulars	Female	Male	Total
Administrative staff			
Group – A			
Group – B			
Group – C			
Group – D			
Technical staff			
Group – A			
Group – B			
Group – C			
Group – D			
Grand Total			

3.2 No. of Non-Teaching staff Category-wise:

Category	Female	Male	Total
SC			
ST			
OBC			
PH			
General			
Total			

3.3 Ratio of teaching staff to non-teaching staff for last two years:

3.4 Whether any skill development programmes facilitated/arranged for non-teaching staff? If Yes, list the programmes in the last three years, along with the number of beneficiaries:

4.0 STUDENT ENROLMENT AND STUDENT SUPPORT

4.1 Number of students enrolled in the University Departments for the current academic year according to regions and countries:

Particulars	UG			PG			M.Phil			Ph.D			Diploma (other than self financing)			Certificate (other than self financing)			Self Financing			Grand Total		
	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T	M	F	T
No. of students from the same State where the University is located																								
No. of students from other States																								
No. of NRI students																								
No. of overseas students excluding NRIs																								
Grand Total																								

M - Male, F - Female, T - Total

4.2 Category-wise No. of students:

Category	Female	Male	Total
SC			
ST			
OBC			
PH			
General			
Total			

4.3 Ratio of teaching faculty to students:

4.4 Details of the latest two batches of students admitted:

Particulars	Batch 1			Batch 2		
	Year of entry:			Year of entry:		
	UG	PG	Total	UG	PG	Total
No. admitted to the programme						
No. of Drop-outs <ul style="list-style-type: none"> • Within four months of joining • Afterwards 						
No. appeared for the final year examination						
No. passed in the final Exam.						
No. passed in first Class						

4.5 Does the University provide bridge/remedial courses to the educationally disadvantaged students?

Yes No

If yes, give details of beneficiaries.

4.6 No. of students who have received financial aid (from State and Centre separately) during the last two years:

Year	No. of beneficiaries
Last year	
Year before last	

5.0 ADMISSION PROCESS

5.1 How are students selected for admission to various courses?

- a) Through special entrance tests
- b) Through interviews
- c) Through their academic record
- d) Through combination of the above

6.0 DISTANCE EDUCATION PROGRAMME

6.1 Does the University offer a distance education programme?

Yes No

6.2 If Yes, indicate the details of the following:

- a) No. of courses offered :
- b) No. of courses approved by DEC :
- c) No. of full time teachers :
- d) No. of part time teachers :
- e) No. of non-teaching staff :
- f) No. of study centres :

6.3 Indicate the following:

- a) Mode of conduct of Courses :
- b) Nature of support services :

6.4 Give the following details :

Name of the course	No. of Students admitted	Revenue generated
Total		

7.0 RESEARCH, CONSULTANCY AND EXTENSION

7.1 No. of teaching faculty actively involved in research:

- Guiding M.Phil / Ph.D
- Conducting personal research
- Operating Research Projects
- Offering Advice/Consultancy
- Operating externally funded Projects

7.2 Give details of

(a) Research projects funded and completed during last 5 years (department wise)

(b) Ongoing research projects

7.3 Major research facilities developed and available on the campus (Enclose list):

7.4 Details of facilities in the Central library for research:

- No. of Books :
- No. of Reference Volumes :
- No. of Special Journals subscribed
- Broad-band internet access:

7.5 Total number of research students currently registered for Ph.D.:

- Full-time :
- Part-time :

7.6 Details of special series of lectures organized at the University level:

7.7 No. of the full-time research scholars having fellowships/scholarships such as JRF/SRF:

7.8 No. of post doctoral fellows currently working in the University:

7.9 No. of the post-doctoral fellows having Ph.D. degree of other Universities:

7.10 Is there a separate research committee to facilitate and monitor research? If Yes, give details.

Yes No

7.11 Furnish the following data for last 3 years

a) No. of Research papers published by the teachers in refereed journals and periodicals (Attach list, Department-wise):

- International :
- National :
- Average Impact Factor

of the papers published :

b) No. of papers presented at Conferences in India and abroad (Attach list, Department-wise):

c) Patents, if any (give details) :

d) Number of books published (Attach list) :

e) Number of Ph.Ds awarded (Attach list, Department wise)

f) Awards/recognition for research work⊗Give details)

- International :
- National :

7.12 Does the University publicize the expertise available for consultancy services?

Yes No

If Yes, give details of areas of expertise, channels of publicizing, and the kind of response received during the last three years.

7.13 Does the University have Industry linkages? If yes, give details.

7.14 List the broad areas of consultancy services provided by the University during the last three years.

7.15 Give particulars of the finances generated through consultancy services by the teachers during the last three years.

7.16 Provide details of the awards / recognition by the University teachers for consultancy work during the last three years

- International :
- National :

7.17 Does the University have a designated person for extension activities?

Yes No

If Yes, indicate the nature of the post:

Full-time Part-time

7.18 Provide details of special lectures, if any, organized by the University for the community.

7.19 Tick the broad areas of the various extension activities of the University:

- | | |
|-----------------------------------|-----------------------------|
| i. Community development | ii. Social work |
| iii. Health and hygiene awareness | iv. Medical camp |
| v. Adult education and literacy | vi. Blood donation camp |
| vii. AIDS awareness | viii. Environment awareness |
| ix. Any other (Specify) | |

7.20 How does the University organize the extension activities? Give details.

7.21 Does the University have an IPR cell? If yes, give details. If no, what steps are being taken to assist the teaching faculty on IPR issues?

7.22 Any other highlights. relating to research, consultancy and extension?

8.0 ACADEMIC AND PHYSICAL INFRASTRUCTURE

8.1 Give the following information on the University::

- No. of laboratories :
- No. of Research Labs. :
- No. of class rooms :
- No. of workshops :

8.2 Tick the support services available in the University from the following list:-

- | | |
|---------------------------|--------------------------|
| Central library | <input type="checkbox"/> |
| Departmental Libraries | <input type="checkbox"/> |
| Computer center | <input type="checkbox"/> |
| Internet Facility | <input type="checkbox"/> |
| Health center | <input type="checkbox"/> |
| Sports facilities | <input type="checkbox"/> |
| Press | <input type="checkbox"/> |
| Workshop | <input type="checkbox"/> |
| Hostels | <input type="checkbox"/> |
| Guest house | <input type="checkbox"/> |
| Campus housing | <input type="checkbox"/> |
| Canteen | <input type="checkbox"/> |
| Grievance redressal cell | <input type="checkbox"/> |
| Training & Placement Cell | <input type="checkbox"/> |
| Non-resident centre | <input type="checkbox"/> |
| ICT as learning resource | <input type="checkbox"/> |
| Any other (specify) | <input type="checkbox"/> |

9.0 INFRASTRUCTURE AND LEARNING RESOURCES

- 9.1 How does the University assess the students' needs?
- 9.2 How does the University assess its teaching, learning activities?
- 9.3 How does the University plan to augment its infrastructure to keep pace with academic growth?
- 9.4 How does the University ensure maintenance of its infrastructure facilities?
- 9.5 Are there departmental libraries for the use of teachers and students?
Yes No
If Yes, give details of utilization.
- 9.6 Apart from classroom instruction, what are the other avenues of learning provided for the students? (Example: Projects, Internships, Field trainings, Seminars, etc.)
- 9.7 How does the University ensure optimum use of its infrastructure facilities?
- 9.8 Does the library provide the following facilities to the students? Indicate the frequency of utilization against each?
- Reprographic facilities :
 - Computers :
 - Audio/Video Cassettes and CDs :
 - Internet facility :
 - Any other (specify) :
- 9.9 Is there is a central computer facility in the University? If yes, give the following details:
- Number of computers and services :
 - Configuration and other hardware and software details :
 - No. of PC's per hundred students :
 - No. of PC's for teachers :
 - Working hours :
 - Training for University personnel :
 - Developing computer-aided learning packages, software for administration / accounts, etc. :
 - Frequency of Utilization of the central computer facility :

9.10 Does the University make use of INFLIBNET / IUC /similar facilities?

Yes No

If Yes, give details of usage.

If No, why?

10.0 BEST PRACTICES AT THE UNIVERSITY

10.1 Furnish the following details (in figures) for the last three years:

Detail	Latest year	Previous year	Previous to previous year
No. of Working days of the University			
No. of Working days of the library			
No. of Teaching days of the University			
No. of Books in the library			
No. of Journals/periodical(print version) subscribed by the library <ul style="list-style-type: none">• National:• International: No. of on-line Journals/periodicals subscribed by the library:			

No. of Computers in the University			
No. of Research projects <ul style="list-style-type: none"> • Completed • their total outlay 			
No. of <ul style="list-style-type: none"> • ongoing research projects • their total outlay 			
No. of Teachers who have received national recognition for <ul style="list-style-type: none"> • Teaching • Research • Consultancy • Any other(please specify): 			
No. of Teachers who have received international recognition for <ul style="list-style-type: none"> • Teaching • Research • Consultancy • Any other(please specify) 			
No. of Teachers who have attended international seminars/workshops abroad			
No. of Teachers who were resource persons <ul style="list-style-type: none"> • at national seminars/workshops • at International seminar/workshops 			
Whether teachers active in research /consultancy given any incentives? If Yes, give the incentive type and number of beneficieries:			
Whether University has procured sophisticated equipment (each one costing >Rs. 20 Lakhs)in the last few years? If Yes, give their numbers:			

10.2 Does the University have collaborations/linkages with National/International institutions?

Yes No

If Yes, list the MoUs signed and furnish the important details of those collaborations.

National	International

10.3 What is the admission policy of the University with regard to overseas students?

10.4 Has the University produced e-learning materials for the development of teaching/learning? If yes, give details regarding the courses covered, No. of units covered, etc.

10.5 Has the University developed any novel/innovative programmes/activities? If yes, give details

11.0 UNIVERSITY BUDGET

11.1 Give a summary of the last annual budget of the University with details of plan and non-plan revenue / expenditure (Attach separately).

11.2 What is the University's 'unit cost' of education? [unit cost = total annual expenditure (budget accruals) divided by the number of students enrolled] Unit cost calculated excluding the salary component may also be given.

11.3 What percentage of the total budget is allocated to the academic programmes?

11.4 Furnish the amount spent under the various heads of expenditure last year.

Head of expenditure	Budget provided	Amount spent	Reasons for unspent balance, if any

11.5 Amount generated through self financing courses:

11.6 Any other sources of income? Please specify and furnish details:

12.0 RESEARCH PROGRAMME PROPOSED

12.1 What is the broad outline of the inter-/multi-disciplinary research programme proposed to be conducted at the University, if selected under the *CPEPA Scheme*? Give this, together with the proposed breakdown of roles and responsibilities of the Departments of the University joining together in this work and the anticipated time plan and the Action Plan.

12.2 Give the proposed budget, covering both non-recurring and recurring requirements, for undertaking the Action Plan and Research Programme proposed under Sections 12.0 and 13.0, if the University is selected under the *CPEPA Scheme*.

CERTIFICATE

This is to CERTIFY that the information furnished in this Application and its enclosures is true and correct to the best of our knowledge and belief. We understand the consequences of any untrue or incorrect information provided in this application and its enclosures.

Signatures of designated Officials:

Coordinators

Vice-Chancellor/Registrar

Seal of the University

Place:

Date: